

6-30-04

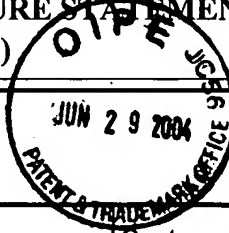
IFW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 14789.17	
In Re Application Of: Larry P. Sower						
Application No. 10/806,802	Filing Date March 23, 2004	Examiner Unassigned	Customer No. 022913	Group Art Unit 1724	Confirmation No. 2905	
Title: SLUDGE HARVESTER FOR REMOVING SLUDGE FROM SLUDGE PONDS						
<p style="text-align: center;">Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p style="text-align: center;">37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;">37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="padding-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;">OR</p> <p style="padding-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>						

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14789.17

In Re Application: Larry P. Sower



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/806,802	March 23, 2004	Unassigned	022913	1724	2905

SLUDGE HARVESTER FOR REMOVING SLUDGE FROM SLUDGE PONDS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

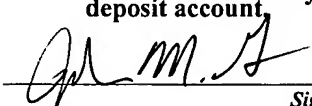
I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**

Dated: June 28, 2004



Signature
John M. Guynn
Attorney for Applicant
Customer No. 022913
Reg. No. 36,153

CC:



EXPRESS MAIL LABEL NO.: EV 533104744 US

PATENT APPLICATION

Docket No.: 14789.17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
)
	Larry P. Sower)
)
Serial No.:	10/806,802) Art Unit
) 1724
Filed:	March 23, 2004)
)
Confirmation No.:	2905)
)
For:	SLUDGE HARVESTER FOR REMOVING)
	SLUDGE FROM SLUDGE PONDS)
)
)
Examiner:	Unassigned)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

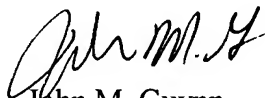
In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 20th day of June 2004.

Respectfully submitted,



John M. Guynn
Attorney for Applicant
Registration No. 36,153
Customer No. 022913

JMG:kk
KKK0000003504V001

Applicant: Larry P. Sower
 Serial No.: 10/806,802
 Filing Date: March 23, 2004
 Confirmation No.: 2905
 For: SLUDGE HARVESTER FOR REMOVING SLUDGE FROM SLUDGE
 PONDS

Att'y Docket No.: 14789.17
 Group: 1724
 Examiner: Unassigned



INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue/Publication Date</u>	<u>Name</u>	<u>Filing Date</u>
___ 1	6,682,578	01/27/04	Sower	09/06/02
___ 2	6,508,078	01/21/03	Sower	10/23/01
___ 3	6,497,741	12/24/02	Sower	05/07/02
___ 4	6,470,828	10/29/02	Townsend, et al.	06/16/00
___ 5	6,409,788	06/25/02	Sower	01/22/99
___ 6	2003/0172697	09/18/03	Sower	02/21/03

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publ. Date</u>	<u>Country or Patent Office</u>	<u>Sub Class</u>	<u>Class</u>	<u>Trans- lation</u>
------------------------------	----------------------------	-----------------------	-------------------------------------	----------------------	--------------	--------------------------

NONE

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: Larry P. Sower
Serial No.: 10/806,802
Filing Date: March 23, 2004
Confirmation No.: 2905
For: SLUDGE HARVESTER FOR REMOVING SLUDGE FROM SLUDGE
PONDS

Att'y Docket No.: 14789.17
Group: 1724
Examiner: Unassigned

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial*

_____ 7 "Deal offers hope for less smelly farms, Kansas City Star,
www.kansascity.com, February 22, 2004.

_____ 8 "Utah Bio-Cap ML® Effectiveness Study", January 2003, Baumgartner
Environics, Inc.

_____ 9 Bill Graham, "Hog Producer Must Cut Odors, Pollution," Kansas City Star,
www.kansascity.com, February 18, 2004.

_____ 10 Susan Mires, "Firm Refines Method of Processing Manure," St. Joseph News-
Press Online, www.stjoenews-press.com, February 18, 2004.

_____ 11 Susan Mires, "PSF Finds Clean Use for Hog Waste," St. Joseph News-Press
Online, www.stjoenews-press.com, February 18, 2004.

_____ 12 Saqib Mukhtar and Jerry D. Walker, "Closure of Lagoons and Earthen
Manure Storage Structures," Agricultural Communications, The Texas A&M University System,
<http://taserc.tamu.edu>, August 2002.

_____ 13 Jay Nixon, "A Stinky Cleanup Job in Missouri," Kansas City Star,
www.kansascity.com, March 1, 2004.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: Larry P. Sower
Serial No.: 10/806,802
Filing Date: March 23, 2004
Confirmation No.: 2905
For: SLUDGE HARVESTER FOR REMOVING SLUDGE FROM SLUDGE
PONDS

Att'y Docket No.: 14789.17
Group: 1724
Examiner: Unassigned

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

W:\14789\17KKK0000003434V001.doc

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
